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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Emerson Jones et al.		Docket Number 006878.114501
Serial No. 10/676,297	Filing Date September 30, 2003	Examiner Ali, M
Invention: METHOD AND SYSTEM FOR ANALYZING A CAPITAL STRUCTURE FOR A COMPANY		
I hereby certify that the following correspondence:		
<p>Information Disclosure Statement (1 page); PTO SB/08a & SB/08b (3 pgs); 6 non-patent literature documents Return Receipt Postcard</p>		
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jones, et al.	:	Group Art Unit: 3609
Serial No.: 10/676,297	:	Examiner: Ali, M
Filed: September 30, 2003	:	Attorney Docket No.: 006878.114501
For: Method and System for Analyzing A Capital Structure For A Company	:	

INFORMATION DISCLOSURE STATEMENT

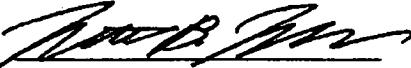
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Sir:

This Information Disclosure Statement is filed pursuant to 37 C.F.R. 1.97(b)(3). In this regard, it is noted that there has not yet been a mailing of a first Office Action on the merits.

Of further note, no representation is intended that a complete search has been made by the applicants or that no better art references than those provided herewith are available. Moreover, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,
GREENBERG TRAURIG, LLP

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Dated: July 20, 2007

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PTO/SB/08a (09-08)

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STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

of

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Complete If Known

Application Number	10/676,297
Filing Date	Sept 30, 2003
First Named Inventor	Jones
Art Unit	3609
Examiner Name	A.L. M.
Attorney Docket Number	0010878-114501

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MAV		US-2002/0046144	04-18-2002	Graff	
		US-2002/0065755	05-30-2002	Schlafman et al.	
		US-2002/0075007	06-13-2002	Ayache	
		US-2002/0099640	07-25-2002	Lange	
		US-2002/0103738	08-01-2002	Griebel et al.	
		US-2002/0147670	10-16-2002	Lange	
		US-2002/0178101	11-28-2002	Swift	
		US-2003/0014337	01-16-2003	Matthews et al.	
		US-2003/0023525	01-30-2003	Chen	
		US-2003/0028488	02-06-2003	Wong et al.	
		US-2003/0069817	04-10-2003	Graff	
		US-2003/0036984	02-20-2003	James	
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		US-2003/0101125	05-29-2003	McGill et al.	
		US-2003/0115128	06-19-2003	Lange	
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		US-2003/0135446	07-17-2003	Birley, Jr. et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner Signature	/Mohamed Ali/	Date Considered	09/13/2007
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

2

of

3

Complete If Known

Application Number	101676297
Filing Date	Sept. 30 2003
First Named Inventor	JONES
Art Unit	3609
Examiner Name	Ali M
Attorney Docket Number	066878 114501

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MA		US 2003/0236754	12-25-2003	Lange	
		US 2004/0006520	01-08-2004	Birte, Jr et al.	
		US 5,744,045	12-30-1997	Hins et al.	
		US 5,742,775	04-21-1998	Kings	
		US 5,802,501	09-01-1998	Graff	
		US 6,061,662	05-09-2000	Makaric	
		US 6,148,293	11-14-2000	Kings	
		US 6,167,384	12-26-2000	Graff	
		US 6,192,347	02-20-2001	Graff	
		US 6,278,981	08-21-2001	Demizu	
		US 6,321,212	11-20-2001	Lange	
		US 6,346,375	04-08-2003	Park et al.	
		US 6,061,662	05-09-2000	Makaric, M.	
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
MA						

Examiner Signature	/Mohamed Ali/	Date Considered	09/13/2007
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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10676297
(Use as many sheets as necessary)				Filing Date	Sept. 30, 2003
				First Named Inventor	JONES
				Art Unit	3609
				Examiner Name	Ali, M.
Sheet	3	of	3	Attorney Docket Number	000878-114501

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/MA/		ISDA Letter to Mr. Timothy Lucas, Director of Research and Technical Activities, FASB, April 30, 2001	
		The Analyst's Accounting Observer Letter to Mr. Timothy Lucas, FASB, May 3, 2001	
		Six Batch of Proposed IAS39 Implementation Guidance Questions and Answers, Draft issued for comment on June 22, 2001	
		Exposure Draft of Proposed Amendments to IAS 32 and IAS 39, dated 2002	
		Intermediate Accounting by Loren Nikolai and John Baskey	
		PWS-Kent Publishing Company, 5 th Edition, 1991 pp. 1183-1205	
		Sams Teach Yourself Excel 97 in 10 Minutes by Jennifer Fulton Sams, 1998 pp. 152-157	
/MA/			

Examiner Signature	/Mohamed Ali/	Date Considered	09/13/2007
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